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# Non-Laziness in Implicit Computational Complexity and Probabilistic $\lambda$ -calculus

Final PhD defense

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Università di Torino  
Dipartimento di Informatica

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# ABSTRACT

# Riassunto della Tesi

La tesi esplora i vantaggi della “non-laziness” sia nella Complessità Computazionale Implicita che nella computazione probabilistica.

Nella **prima parte** analizziamo i meccanismi di cancellazione e duplicazione lineare e introduciamo i sistemi di assegnazione di tipi LEM (Linearly Exponential Multiplicative Type Assignment) and LAM (Linearly Additive Multiplicative Type Assignment). Il primo sistema ha regole esponenziali “più deboli” e soddisfa un teorema di cut-elimination cubico, mentre il secondo sistema ha regole additive “più deboli”, chiamate *additivi lineari*, e soddisfa una normalizzazione lineare forte. La normalizzazione lineare in presenza di regole additive viene quindi recuperata senza introdurre strategie di riduzione **lazy**.

Studiamo inoltre una versione probabilistica degli additivi lineari nel sistema  $STA_{\oplus}$ , il quale permette una caratterizzazione implicita delle le funzioni probabilistiche polinomiali che non dipende dalla strategia di riduzione. Tale caratterizzazione non richiede quindi l'uso di riduzioni **lazy**.

Nella **seconda parte** mostriamo che la relazione di bisimilarità nel lambda calcolo probabilistico e l'equivalenza contestuale coincidono rispetto alla semantica operativa non-lazy e call-by-name (*full abstraction*). Questo risultato testimonia il potere discriminante della **non-laziness**, in quanto tale corrispondenza fallisce nel caso lazy.

# Résumé de Thèse

Cette thèse analyse les avantages de la “non-paresse” dans la Complexité Computationnelle Implicite et dans le lambda calcul probabiliste.

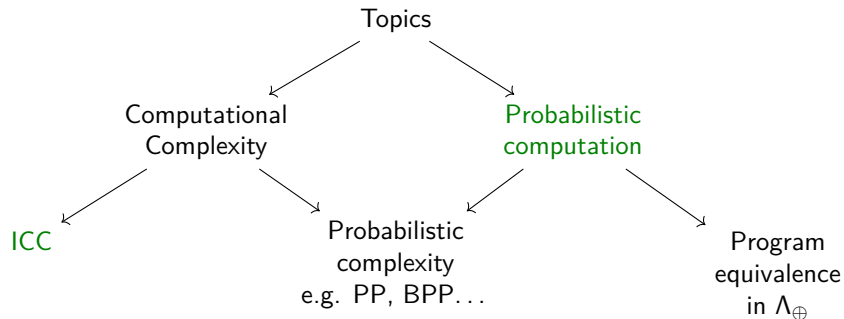
Dans la **première partie** on étudie des mécanismes d’effacement et de duplication linéaire, et on introduit les systèmes LEM (Linearly Exponential Multiplicative Type Assignment) et LAM (Linearly Additive Multiplicative Type Assignment). Le premier système a des règles exponentielles “plus faibles” et satisfait l’élimination des coupures en temps cubique. Le second système a des règles additives “plus faibles”, appelées *additifs linéaires*, et satisfait une normalisation linéaire forte. On peut donc retrouver une normalisation linéaire en présence de règles additives sans introduire de stratégies **paresseuses**.

On étudie aussi une version probabiliste des additifs linéaires dans le système  $STA_{\oplus}$ , qui permet une caractérisation implicite des fonctions probabilistes polynomiales qui ne dépend pas de la stratégie de réduction. Donc, cette caractérisation ne nécessite pas l’utilisation de réductions **paresseuses**.

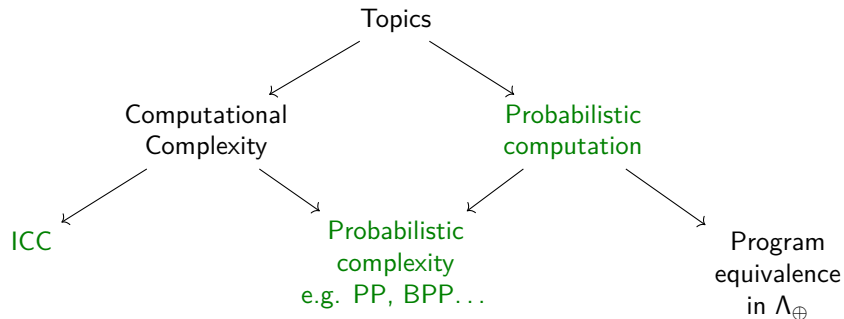
Dans la **deuxième partie** on montre que la relation de bisimilarité dans le lambda calcul probabiliste correspond à l’équivalence observationnelle par rapport à la sémantique opérationnelle non-paresseuse d’appel par nom (*full abstraction*). Ce résultat témoigne du pouvoir discriminant de la **non-paresse**, étant donné que cette correspondance manquait dans le cadre paresseux.

# INTRODUCTION

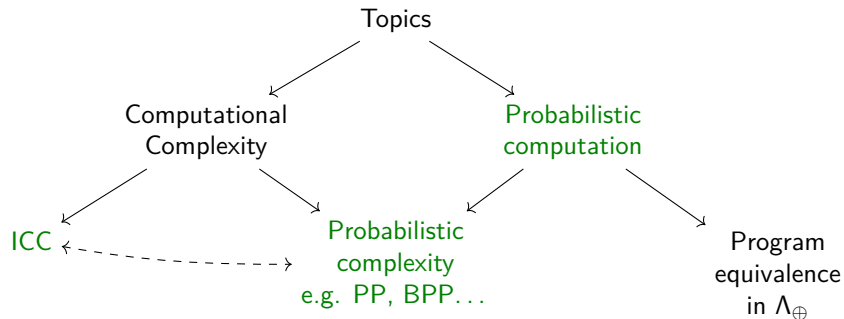
## A genealogical tree...



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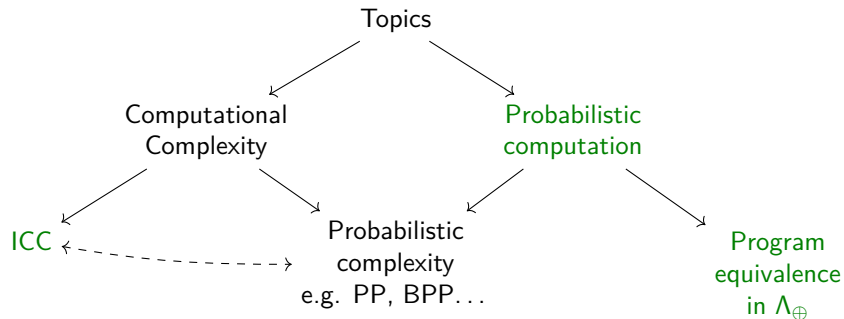


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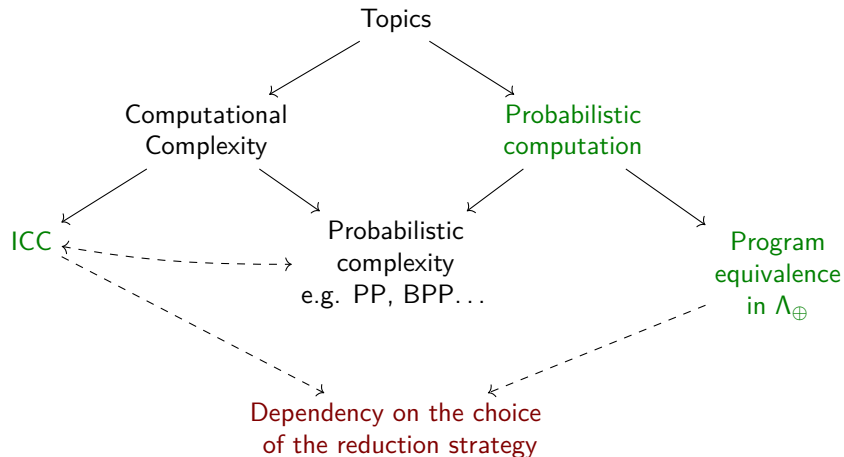




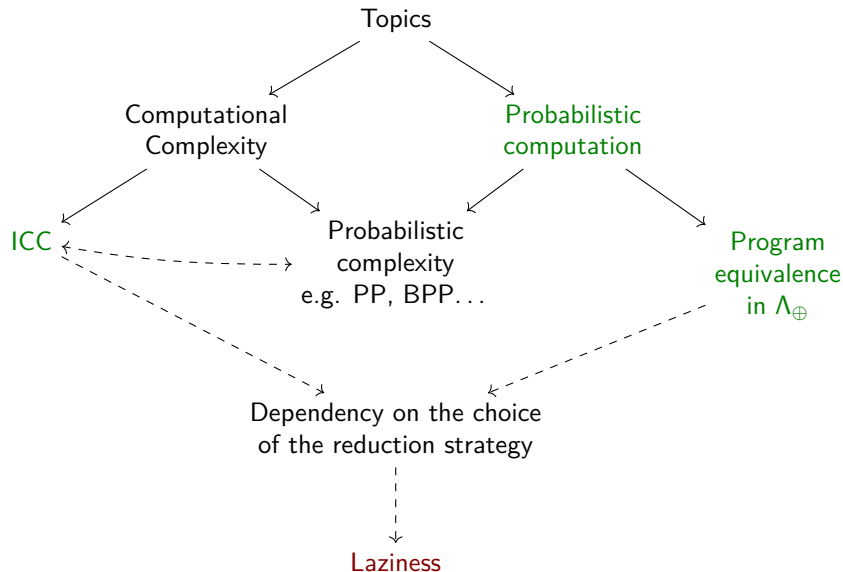
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# Goal of this thesis

Benefits of “non-laziness” in ICC and program equivalence in  $\Lambda_{\oplus}$ :

- ▶ **(Part I)** System LEM to compactly express linear erasure/duplication in Multiplicative Linear Logic. New tools for ICC: “linear” additive rules?
- ▶ **(Part II)**
  - (i) System LAM with *linear additives* has strong linear normalization (no laziness).
  - (ii) System  $STA_{\oplus}$  with *probabilistic linear additives* captures probabilistic polytime functions in the style of ICC (no laziness).
- ▶ **(Part III)** Non-laziness: key step to recover the missing matching between bisimilarity and contextual equivalence in  $\Lambda_{\oplus}^{\text{cbn}}$ .

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# PART I

## A TYPE ASSIGNMENT OF LINEAR ERASURE AND DUPLICATION

Gianluca Curzi and Luca Roversi. *A type-assignment of linear erasure and duplication*. In *Theoretical Computer Science*, 2020.

# Introduction

- ▶  $\text{IMLL}_2$  as type assignment for the linear  $\lambda$ -calculus.
- ▶ Encoding **boolean circuits** in  $\text{IMLL}_2$  [Mairson 03, Mairson&Terui 03].



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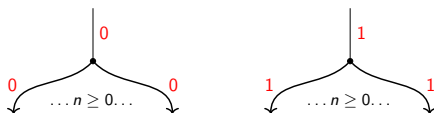
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- ▶ This thesis: system LEM to compactly express linear erasure/duplication of  $\text{IMLL}_2$ . Cut-elimination? Expressiveness?



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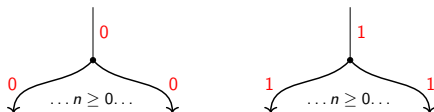
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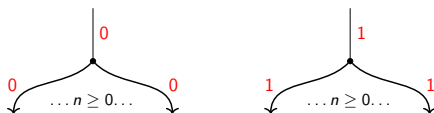
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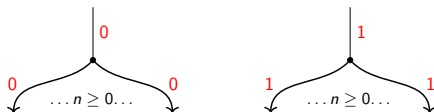
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## Linear erasure and duplication in IMLL<sub>2</sub>

- ▶ “Linear” Booleans:

$$\mathbf{B} \triangleq \forall \alpha. \alpha \multimap \alpha \multimap \alpha \otimes \alpha \quad \underline{0} \triangleq \lambda x. \lambda y. x \otimes y \quad \underline{1} \triangleq \lambda x. \lambda y. y \otimes x$$

- ▶ Linear erasure by **consumption of data**:

$$E_B \triangleq \lambda z. \text{let } z \text{ ! be } x, y \text{ in } (\text{let } y \text{ be ! in } x)$$

- ▶ Example:  $E_B \underline{0} \triangleq (\lambda z. \text{let } z \text{ ! be } x, y \text{ in } (\text{let } y \text{ be ! in } x)) (\lambda x. \lambda y. x \otimes y)$

- ▶ Linear duplication by **selection and erasure**:

$$D_B \triangleq \lambda z. \pi_1(z(\underline{0} \otimes \underline{0})(\underline{1} \otimes \underline{1}))$$

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# The system LEM (this thesis)

- ▶ **Generalization** in  $\text{IMLL}_2$ : from **B** to *closed*  $\Pi_1$ -types (no negative  $\forall$ ):

$$A \text{ closed } \Pi_1 \quad \rightarrow \quad \mathbf{E}_A$$

$$A \text{ closed } \Pi_1 + \mathbf{inhabited} \quad \rightarrow \quad \mathbf{D}_A$$

- ▶ How to express general linear erasure/duplication of  $\text{IMLL}_2$ ?
- ▶ LEM =  $\text{IMLL}_2$  + “weaker” exponential rules:

$$\frac{x_1 : \downarrow\sigma_1, \dots, x_n : \downarrow\sigma_n \vdash M : \sigma}{x_1 : \downarrow\sigma_1, \dots, x_n : \downarrow\sigma_n \vdash M : \downarrow\sigma}^p \quad \frac{\Gamma, x : \sigma \vdash M : \tau}{\Gamma, y : \downarrow\sigma \vdash M[y/x] : \tau}^d$$

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$\sigma$  *closed and lazy* (no negative  $\forall$ ) and  $V$  *value* (closed normal linear  $\lambda$ -term).

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# Cut-elimination for lazy types (this thesis)

- ▶ Reduction rules:

$$(\lambda x.M)N \rightarrow M[N/x]$$

$$\text{discard}_\sigma V \text{ in } M \rightarrow M$$

$$\text{copy}_\sigma^{V'} V \text{ as } x, y \text{ in } M \rightarrow M[V/x, V/y]$$

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Any derivation of a lazy type (no negative  $\Upsilon$ ) can be rewritten into a cut-free one by the “weaker” cut-elimination rules in cubic time.



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## Theorem (Cut-elimination for lazy types)

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- ▶ “Weaker” cut-elimination rules:

$$\frac{\frac{\mathcal{D}}{\vdash V : \sigma} \quad \frac{\Delta, y : \downarrow\sigma, z : \downarrow\sigma \vdash M : \tau \quad \vdash V' : \sigma}{\Delta, x : \downarrow\sigma \vdash \text{copy}_\sigma^{V'} x \text{ as } y, z \text{ in } M : \tau}}{\Delta \vdash \text{copy}_\sigma^{V'} V \text{ as } y, z \text{ in } M : \tau}}{\frac{\mathcal{D} \quad \frac{\vdash V : \sigma}{\vdash V : \downarrow\sigma} \quad \Delta, y : \downarrow\sigma, z : \downarrow\sigma \vdash M : \tau}{\Delta, z : \downarrow\sigma \vdash M[V/y] : \tau}}{\Delta \vdash M[V/y, V/z] : \tau}} \rightsquigarrow$$

## Theorem (Cut-elimination for lazy types)

Any derivation of a **lazy type** (no negative  $\forall$ ) can be rewritten into a cut-free one by the “weaker” cut-elimination rules in **cubic time**.

# Exponential compression and applications (this thesis)

- ▶ *Translation*  $(-)^{\bullet} : \text{LEM} \rightarrow \text{IMLL}_2$ :

$\sigma$  (closed lazy)  $\mapsto \sigma^{\bullet}$  (closed  $\Pi_1$ )

$\text{discard}_{\sigma}$   $\mapsto E_{\sigma^{\bullet}}$

$\text{copy}_{\sigma}^V$   $\mapsto D_{\sigma^{\bullet}}$

- ▶ Since  $\text{size}(D_A) \in \mathcal{O}(2^{\text{size}(A)^2})$ :

## Theorem (Exponential compression)

If  $\Gamma \vdash_{\text{LEM}} M : \sigma$  then  $\text{size}(M^{\bullet})$  can be **exponential** w.r.t  $\text{size}(M)$ .

- ▶ **Applications:** compact encodings of **boolean circuits** and **natural numbers**.

$\bar{2} \triangleq \lambda fx. \text{copy}_1^I f$  as  $f_1, f_2$  in  $f_1(f_2 x)$

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## PART II

# LINEAR ADDITIVES AND PROBABILISTIC POLYNOMIAL TIME

Gianluca Curzi. *Linear Additives*. To be presented in the workshop *TLLA*, 2020.

# Introduction

- ▶ *Additive rules* of Linear Logic:

$$\langle M, N \rangle : A \& B \quad \pi_1 : A \& B \multimap A \quad \pi_2 : A \& B \multimap B$$

- ▶ Variants of  $\&$  to capture NP [Maurel 03, Matsuoka 04, Gaboardi et al. 08].

- ▶ Drawback: **exponential** blow up

$$\text{e.g.} \quad (\lambda x. \langle x, x \rangle)M \rightsquigarrow \langle M, M \rangle$$

- ▶ *Lazy reduction* to “freeze” evaluation [Girard 96].
- ▶ This thesis: exploit linear erasure/duplication of  $\text{IMLL}_2$ 
  - ▶ *linear additive rules* for **strong** linear normalization;
  - ▶ **probabilistic** linear additive rules to capture the probabilistic polytime functions.

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## Linear additives (this thesis)

- ▶ Linear additives (“weaker” additives), e.g.

$$\frac{x_1 : A \vdash M_1 : B_1 \quad x_2 : A \vdash M_2 : B_2 \quad \vdash V : A}{x : A \vdash \text{copy}_A^V N \text{ as } x_1, x_2 \text{ in } \langle M_1, M_2 \rangle : B_1 \wedge B_2} \wedge R$$

$A$  closed and lazy (no negative  $\forall$ ) and  $V$  value (closed normal linear  $\lambda$ -term).

- ▶ System LAM = IMLL<sub>2</sub> + linear additives:

### Theorem (Strong linear normalization)

If  $\Gamma \vdash_{\text{LAM}} M : A$  then  $M$  normalizes in a linear number of steps.

- ▶ No need of **laziness** to recover linear normalization with additives!
- ▶ **Probabilistic** linear additives to capture probabilistic polytime functions?

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# The system STA<sub>⊕</sub> (this thesis)

- ▶ **STA (polynomial time)** [Gaboardi&Ronchi 09].
- ▶ *Linear Lambda Calculus (confluence)* [Simpson 05].
- ▶ Explicit dereliction (**subject reduction**) [Ronchi&Roversi 97].
- ▶ Linear additives + type-dependency (**non-determinism**) [Diaz-Caro 13].

$$\frac{\Gamma, x_1 : \sigma, \dots, x_n : \sigma \vdash M : \tau \quad (n \geq 0)}{\Gamma, x : !\sigma \vdash M[x/x_1, \dots, x/x_n] : \tau} \quad m$$

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# Probabilistic features from type-dependency (this thesis)

- ▶ (1) let non-determinism arise naturally from type-dependency:

$$\text{proj}_C^{A_1 \wedge A_2} \langle M_1, M_2 \rangle \quad C \in \{A_1, A_2\}$$

*surface reduction* (no evaluation under the scope of !).

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$$M \Rightarrow \mathcal{D}$$

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Theorem (Probabilistic Polytime Characterization)

$\text{STA}_\oplus$  captures the probabilistic polytime functions (no *lazy* reduction strategy).

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## PART III

# THE BENEFIT OF BEING NON-LAZY IN PROBABILISTIC $\lambda$ -CALCULUS

Gianluca Curzi and Michele Pagani. *The Benefit of Being Non-lazy in Probabilistic  $\lambda$ -calculus*. In *LICS*, 2020.



# Introduction

- ▶ Program equivalence: **contextual equivalence** vs bisimilarity.
- ▶ *Applicative bisimilarity* [Abramsky 93].

$$\Lambda^{\text{cbn}} = \text{LTS}$$

- ▶ *Probabilistic applicative bisimilarity (PAB)* [Dal Lago et al. 13].

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- ▶ Contextual equivalence ( $=_{\text{cxt}}$ ) vs PAB ( $\sim$ ).


*Full Abstraction = Soundness + Completeness.*

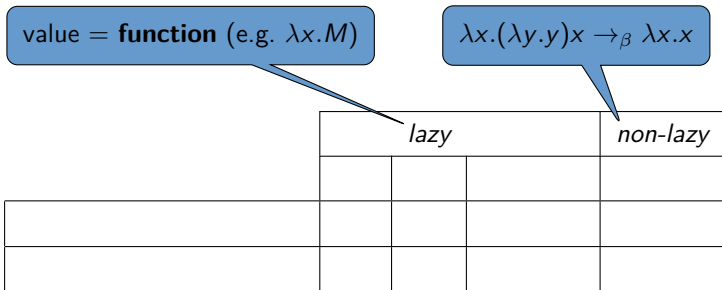
- ▶ Contextual equivalence ( $=_{\text{ctx}}$ ) vs PAB ( $\sim$ ).

value = **function** (e.g.  $\lambda x.M$ )

	<i>lazy</i>			

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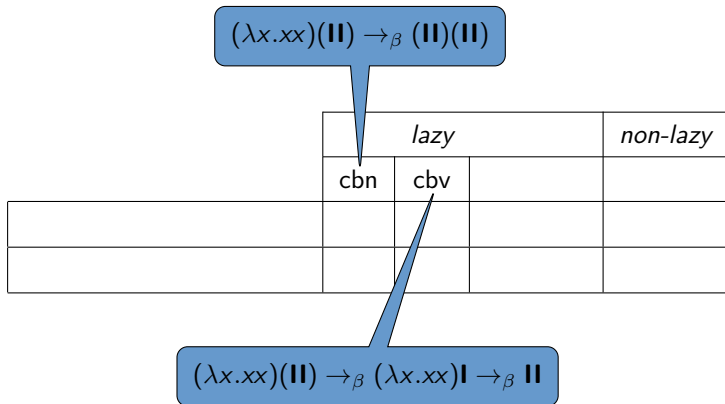
$$(\lambda x.xx)(\mathbb{I}) \rightarrow_{\beta} (\mathbb{I})(\mathbb{I})$$

	<i>lazy</i>			<i>non-lazy</i>
	cbn			

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	<i>lazy</i>			<i>non-lazy</i>
	cbn	cbv		
<i>Soundness</i> ( $\sim \subseteq =_{\text{cxt}}$ )	✓			
<i>Completeness</i> ( $=_{\text{cxt}} \subseteq \sim$ )	✗			

[Dal Lago et al. 14]

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[Crubillé&Dal Lago 14]

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[Kasterovic&Pagani 19]

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<i>Completeness</i> ( $=_{\text{cxt}} \subseteq \sim$ )	✗	✓	✓	✓

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via *Context Lemma* (no Howe's method)

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<i>Soundness</i> ( $\sim \subseteq =_{\text{cxt}}$ )	✓	✓	✓	✓
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<i>Completeness</i> ( $=_{\text{cxt}} \subseteq \sim$ )	X	✓	✓	✓

via *Separation Theorem* [Leventis 18] (no testing equivalence)

*Full Abstraction* = Soundness + Completeness.

# Probabilistic $\lambda$ -calculus $\Lambda_{\oplus}$ and operational semantics $\llbracket \cdot \rrbracket$

- ▶ Probabilistic  $\lambda$ -calculus  $\Lambda_{\oplus}$ :

$$M := x \mid \lambda x.M \mid (MM) \mid M \oplus M$$

$$H := \lambda x_1 \dots x_n.yM_1 \dots M_m \quad (\text{head nf})$$

- ▶ Big-step approximation  $\Downarrow \subseteq \Lambda_{\oplus} \times \mathcal{D}(\text{HEAD})$ , e.g.:

$$\frac{M \Downarrow \mathcal{D} \quad \{H[N/x] \Downarrow \delta_{H,N}\}_{\lambda x.H \in \text{supp}(\mathcal{D})}}{MN \Downarrow \sum_{\lambda x.H \in \text{supp}(\mathcal{D})} \mathcal{D}(\lambda x.H) \cdot \delta_{H,N} + \sum_{\substack{H \in \text{supp}(\mathcal{D}) \\ H \text{ is neutral}}} \mathcal{D}(H) \cdot HN} \text{ s5}$$

- ▶ Big-step semantics:  $\llbracket M \rrbracket := \sup\{\mathcal{D} \mid M \Downarrow \mathcal{D}\}$



# Probabilistic $\lambda$ -calculus $\Lambda_{\oplus}$ and operational semantics $\llbracket \cdot \rrbracket$

- ▶ Probabilistic  $\lambda$ -calculus  $\Lambda_{\oplus}$ :

$$M := x \mid \lambda x.M \mid (MM) \mid M \oplus M$$

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- ▶ *Big-step approximation*  $\Downarrow \subseteq \Lambda_{\oplus} \times \mathcal{D}(\text{HEAD})$ , e.g.:

$$\frac{M \Downarrow \mathcal{D} \quad \{H[N/x] \Downarrow \mathcal{E}_{H,N}\}_{\lambda x.H \in \text{supp}(\mathcal{D})}}{MN \Downarrow \sum_{\lambda x.H \in \text{supp}(\mathcal{D})} \mathcal{D}(\lambda x.H) \cdot \mathcal{E}_{H,N} + \sum_{\substack{H \in \text{supp}(\mathcal{D}) \\ H \text{ is neutral}}} \mathcal{D}(H) \cdot HN} \text{ s5}$$

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$$M =_{\text{cxt}} N \quad \text{iff} \quad \forall \mathcal{C} \quad \sum [[\mathcal{C}[M]]] = \sum [[\mathcal{C}[N]]].$$

$\mathcal{C}$  = term with a “hole”  $[\cdot]$ , e.g.  $\lambda x. \lambda y. [\cdot]xy$ .

- ▶ Example:  $\lambda z. z(\Omega \oplus \mathbf{I}) \neq_{\text{cxt}} \lambda z. (z\Omega \oplus z\mathbf{I})$ . If  $\mathcal{C} \triangleq [\cdot]\Delta$  then:

$$(\lambda z. z(\Omega \oplus \mathbf{I}))\Delta \xrightarrow[0.25]{*} \mathbf{I}$$

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# Probabilistic Applicative Bisimilarity ( $\sim$ )

- ▶  $\Lambda_{\oplus}^{\text{head}}$  as a LMC:

$$\begin{array}{ccc}
 M & \xrightarrow[\rho]{\tau} & H \\
 & \searrow[\rho']{\tau} & \dots \\
 & & H'
 \end{array}$$

$$\lambda x.H \xrightarrow[1]{M} H[M/x]$$

- ▶ *Probabilistic applicative bisimulation*:  $\mathcal{R}$  = equivalence relation such that, e.g.

$$\forall H \quad \begin{array}{ccc}
 M & \xrightarrow[\rho]{\tau} & \{H' \mid H' \mathcal{R} H\} \\
 \mathcal{R} & & \\
 N & \xrightarrow[\rho]{\tau} &
 \end{array}$$

- ▶ Example: if  $\text{fix} \triangleq (\lambda y.I \oplus yy)(\lambda y.I \oplus yy)$  then  $\lambda x.x \oplus x \sim \text{fix}$ , since:

$$\lambda x.x \oplus x \xrightarrow[1]{\tau} I \qquad \text{fix} \xrightarrow[1]{\tau} I$$

so  $\mathcal{R} \triangleq \{(\lambda x.x \oplus x, \text{fix}), (\text{fix}, \lambda x.x \oplus x)\} \cup \{(N, N) \mid N \in \Lambda_{\oplus}^{\emptyset}\}$  is bisimulation.

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# Probabilistic Nakajima trees [Leventis 18]

- ▶ *Separation Theorem* [Leventis 18]:  $M =_{\text{cxt}} N$  implies  $\mathcal{PT}(M) = \mathcal{PT}(N)$ .
- ▶ *Böhm tree (BT)*:

$$BT(\lambda x_1 \dots x_n. y M_1 \dots M_k) \triangleq$$

```
graph TD; Root["λx₁ ... xₙ. y"] --- C1["x₁"]; Root --- C2["x₂"]; Root --- Cn["xₙ"]; Root --- BT1["BT(M₁)"]; Root --- BT2["BT(M₂)"]; Root --- BTk["BT(Mₖ)"];
```

- ▶ *Probabilistic Nakajima tree (PT)*:

$$\mathcal{PT}(M) \triangleq$$

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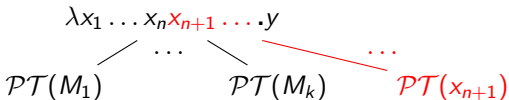
$$\mathcal{BT}^\eta(\lambda x_1 \dots x_n \cdot y M_1 \dots M_k) \triangleq$$

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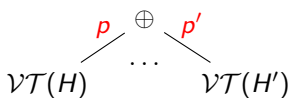
# Probabilistic Nakajima trees [Leventis 18]

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- ▶ Probabilistic Nakajima tree ( $\mathcal{PT}$ ):

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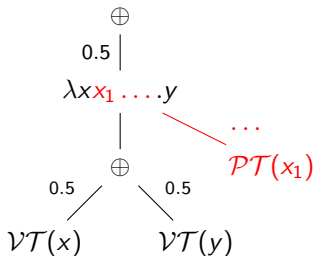
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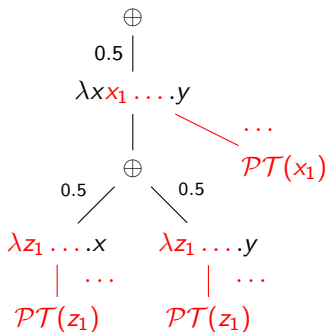
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## Full abstraction (this thesis)

### Theorem (Full abstraction)

Let  $M, N \in \Lambda_{\oplus}$ . We have  $M \sim N$  iff  $M =_{\text{cxt}} N$ .

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$\Rightarrow \forall \mathcal{C} \triangleq [\cdot]_{L_1, \dots, L_n}, \mathcal{C}[M] \sim \mathcal{C}[N]$  using [Dal Lago et al. 14]

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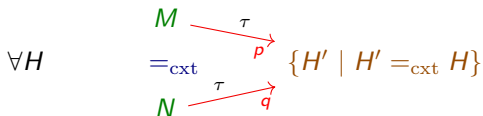
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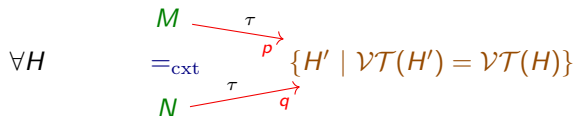
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$$\mathcal{PT}(M) \triangleq \begin{array}{c} \oplus \\ \text{\color{red} } p \swarrow \quad \searrow \text{\color{black} } p' \\ \text{\color{brown} } \mathcal{VT}(H) \quad \dots \quad \mathcal{VT}(H') \quad \dots \end{array} \quad \mathcal{PT}(N) \triangleq \begin{array}{c} \oplus \\ \text{\color{red} } q \swarrow \quad \searrow \text{\color{black} } p' \\ \text{\color{brown} } \mathcal{VT}(H) \quad \dots \quad \mathcal{VT}(H') \quad \dots \end{array}$$

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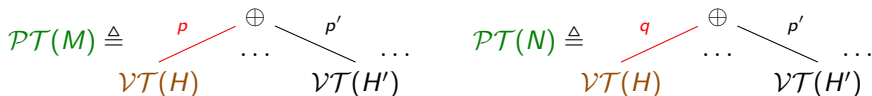
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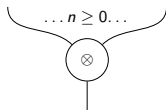


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# PERSPECTIVES

▶ (Part I):

- ▶ Depth-preserving translation of boolean circuits for LEM? *Unbounded fan-in* proof nets [Terui 04, Mogbil&Rahli 07, Aubert 11]:



- ▶ Characterization of the number-theoretic functions representable in LEM?

▶ (Part II):

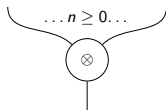
- ▶ Linear additives based on the Linear Logic additive conjunction  $\&$ . What about linear additives based on the additive disjunction  $\oplus$ ?

	additives	linear additives
conjunction	$\&$	$\wedge$
disjunction	$\oplus$	$\vee$

- ▶ Specific characterizations of  $\&$  and  $\oplus$  in the  $\text{Lem}^{\text{lin}}$  system [Mogbil 07, Mogbil&Rahli 07, Mogbil 08, Mogbil 09, Mogbil 10, Mogbil 11, Mogbil 12, Mogbil 13, Mogbil 14, Mogbil 15, Mogbil 16, Mogbil 17, Mogbil 18, Mogbil 19, Mogbil 20, Mogbil 21, Mogbil 22, Mogbil 23, Mogbil 24, Mogbil 25, Mogbil 26, Mogbil 27, Mogbil 28, Mogbil 29, Mogbil 30, Mogbil 31, Mogbil 32, Mogbil 33, Mogbil 34, Mogbil 35, Mogbil 36, Mogbil 37, Mogbil 38, Mogbil 39, Mogbil 40, Mogbil 41, Mogbil 42, Mogbil 43, Mogbil 44, Mogbil 45, Mogbil 46, Mogbil 47, Mogbil 48, Mogbil 49, Mogbil 50, Mogbil 51, Mogbil 52, Mogbil 53, Mogbil 54, Mogbil 55, Mogbil 56, Mogbil 57, Mogbil 58, Mogbil 59, Mogbil 60, Mogbil 61, Mogbil 62, Mogbil 63, Mogbil 64, Mogbil 65, Mogbil 66, Mogbil 67, Mogbil 68, Mogbil 69, Mogbil 70, Mogbil 71, Mogbil 72, Mogbil 73, Mogbil 74, Mogbil 75, Mogbil 76, Mogbil 77, Mogbil 78, Mogbil 79, Mogbil 80, Mogbil 81, Mogbil 82, Mogbil 83, Mogbil 84, Mogbil 85, Mogbil 86, Mogbil 87, Mogbil 88, Mogbil 89, Mogbil 90, Mogbil 91, Mogbil 92, Mogbil 93, Mogbil 94, Mogbil 95, Mogbil 96, Mogbil 97, Mogbil 98, Mogbil 99, Mogbil 100]

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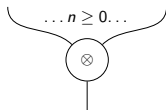
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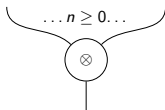
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## Theorem

*Probabilistic applicative similarity* ( $\lesssim$ ) is sound but not complete (hence not fully abstract) for *contextual preorder* ( $\leq_{\text{cxt}}$ ).

- ▶ **Counterexample:** similar to [Crubillé&Dal Lago 14]

$$\lambda x.x(\Omega \oplus \mathbf{I}) \quad \text{vs} \quad \lambda x.(x\Omega \oplus x\mathbf{I}).$$

- ▶ In cbv asymmetric full abstraction in  $\Lambda_{\oplus} + \textit{parallel}$  or [Crubillé et al. 15].
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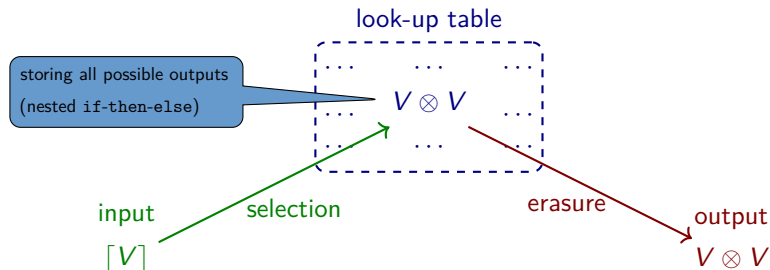
THANK YOU!  
QUESTIONS?

# APPENDIX

## How duplicators work

Let  $A$  be a closed  $\Pi_1$  type. The duplicator of  $A$ , written  $D_A$ , implements two operations on a closed normal inhabitant  $V$  of  $A$ :

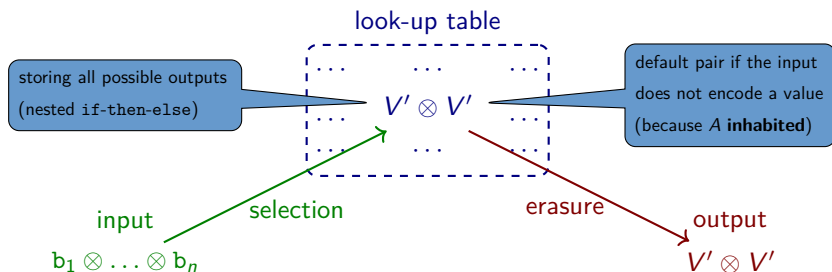
- ▶ **encode**  $V$  as a Boolean tuple  $\lceil V \rceil$ ;
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# Expressiveness of LEM and applications (this thesis)

- ▶ **Compact** and **modular** encoding  $\lambda(-)$  of **boolean circuits**:

$$C(\underbrace{x_1, \dots, x_n}_{n \text{ input nodes}}) = (\underbrace{y_1, \dots, y_m}_{m \text{ output nodes}}) \mapsto \lambda(C) : \downarrow \mathbf{B} \otimes \dots \otimes \downarrow \mathbf{B} \multimap \mathbf{B} \otimes \dots \otimes \mathbf{B}$$

- ▶ Encoding of **natural numbers**:

$$\bar{0} \triangleq \lambda f x. \text{discard}_1 f \text{ in } x$$

$$\bar{1} \triangleq \lambda f x. f x$$

$$\overline{n+2} \triangleq \lambda f x. \text{copy}_1^! f \text{ as } f_1 \dots f_n \text{ in } f_1(\dots(f_n x)\dots)$$

$$\text{succ} \triangleq \lambda n f x. \text{copy}_1^! f \text{ as } f_1, f_2 \text{ in } f_1(n f_2 x)$$

$$\text{add} \triangleq \lambda m n f x. \text{copy}_1^! f \text{ as } f_1, f_2 \text{ in } m f_1(n f_2 x).$$

$$\downarrow(\forall \alpha. (\alpha \multimap \alpha)) \multimap (\forall \alpha. (\alpha \multimap \alpha)) \quad \text{vs} \quad \forall \alpha. (!(\alpha \multimap \alpha) \multimap (\alpha \multimap \alpha))$$

Numerals cannot be used as **iterators** (no topmost  $\forall$ ).



# Probabilistic calculi and confluence

- ▶ **Example:** let  $\Lambda + \text{rand}$ , where  $\text{tt} \leftarrow \text{rand} \rightarrow \text{ff}$ , and let  $(\lambda x.x \otimes x)$  **rand**:
  - ▶ If we evaluate **rand** first:  $\{\text{tt} \otimes \text{tt}^{\frac{1}{2}}, \text{ff} \otimes \text{ff}^{\frac{1}{2}}\}$ .
  - ▶ If we apply  $\beta$ -reduction first:  $\{\text{tt} \otimes \text{tt}^{\frac{1}{4}}, \text{tt} \otimes \text{ff}^{\frac{1}{4}}, \text{ff} \otimes \text{tt}^{\frac{1}{4}}, \text{ff} \otimes \text{ff}^{\frac{1}{4}}\}$ .

- ▶ Simpson's *linear*  $\lambda$ -calculus  $\Lambda_j^!$ :

$$M := x \mid !M \mid \lambda x.M \ (*) \mid \lambda !x.M \mid MM \quad (*) \text{ with } x \text{ linear in } M$$

$$(\lambda x.M)N \rightarrow M[N/x] \quad (\lambda !x.M)!N \rightarrow M[N/x]$$

**Surface reduction:** no evaluation inside the scope of the !-operator.

**Idea:** in  $\Lambda_j^! + \text{rand}$ ,  $(\lambda !x.x \otimes x)$  **!rand** forces to apply  $\beta$ -reduction.

# Probabilistic operational semantics $\llbracket \cdot \rrbracket$

- *Big-step approximation*  $\Downarrow \subseteq \Lambda_{\oplus} \times \mathfrak{D}(\text{HEAD})$ :

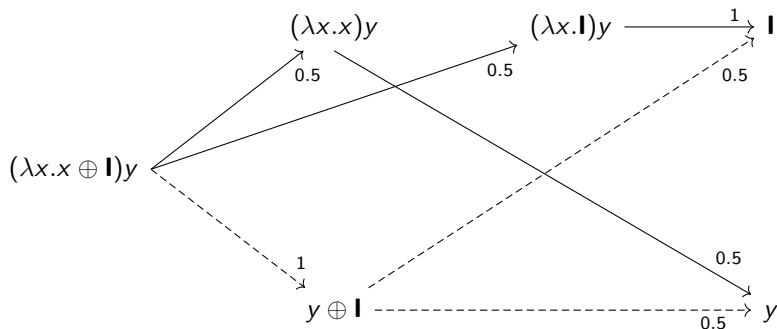
$$\frac{}{M \Downarrow \perp} \text{ s1} \quad \frac{}{x \Downarrow x} \text{ s2} \quad \frac{M \Downarrow \mathcal{D}}{\lambda x.M \Downarrow \lambda x.\mathcal{D}} \text{ s3} \quad \frac{M \Downarrow \mathcal{D} \quad N \Downarrow \mathcal{E}}{M \oplus N \Downarrow \frac{1}{2} \cdot \mathcal{D} + \frac{1}{2} \cdot \mathcal{E}} \text{ s4}$$

$$\frac{M \Downarrow \mathcal{D} \quad \{H[N/x] \Downarrow \mathcal{E}_{H,N}\}_{\lambda x.H \in \text{supp}(\mathcal{D})}}{MN \Downarrow \sum_{\lambda x.H \in \text{supp}(\mathcal{D})} \mathcal{D}(\lambda x.H) \cdot \mathcal{E}_{H,N} + \sum_{\substack{H \in \text{supp}(\mathcal{D}) \\ H \text{ is neutral}}} \mathcal{D}(H) \cdot HN} \text{ s5}$$

- *Big-step semantics*:  $\llbracket M \rrbracket := \sup\{\mathcal{D} \mid M \Downarrow \mathcal{D}\}$

# Head reduction vs head spine reduction

► Example:



## Theorem (Equivalence)

$\forall M \in \Lambda_{\oplus}, \forall H \in \text{HEAD}, \forall n \in \mathbb{N}: \text{Prob}_{\text{head}}^n[M, H] = \text{Prob}_{\text{spine}}^n[M, H].$

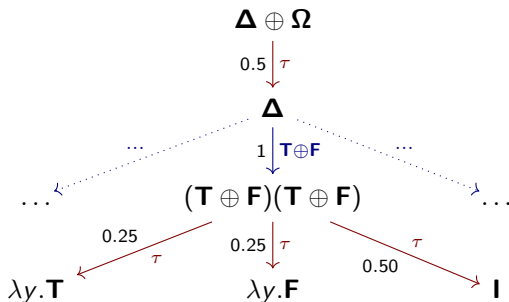
# Labelled Markov Chain (LMC)

- ▶  $\Lambda_{\oplus}^{\text{head}}$  as a LMC:

$$\begin{array}{l}
 M \xrightarrow[p]{\tau} \lambda x.H \\
 \quad \searrow \tau \\
 \quad \quad \quad \lambda x.H'
 \end{array}$$

$$\lambda x.H \xrightarrow[1]{M} H[M/x]$$

- ▶ **Example:** if  $\mathbf{T} \triangleq \lambda xy.x$  and  $\mathbf{F} \triangleq \lambda xy.y$  then:



# Probabilistic Applicative Similarity (PAS)

- ▶ *Probabilistic applicative simulation*:  $\mathcal{R} =$  preorder relation such that

$$\begin{array}{ccc}
 M \xrightarrow[p]{\tau} X \subseteq \text{HEAD} & & \lambda x.H \xrightarrow[1]{M} H[M/x] \\
 \mathcal{R} & & \mathcal{R} \\
 N \xrightarrow[p']{\tau} \mathcal{R}(X) & & \lambda x.H' \xrightarrow[1]{M} H'[M/x]
 \end{array}$$

- ▶ *Probabilistic applicative similarity (PAS)*:  $\sim =$  the “largest” simulation

$$\begin{array}{ccc}
 M \xrightarrow[p]{\tau} X \subseteq \text{HEAD} & & \lambda x.H \xrightarrow[1]{M} H[M/x] \\
 \sim & & \sim \\
 N \xrightarrow[p']{\tau} \sim(X) & & \lambda x.H' \xrightarrow[1]{M} H'[M/x]
 \end{array}$$